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PTO/SB/05 (4/98) Please type a plus sign (+) inside this box → + Approved for use through 09/30/2000. OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Attorney Docket No. 103.1046.01 UTILITY Alan ROWE First Inventor or Application Identifier PATENT APPLICATION A Mechanism to Survive Server Failures When Using The CIFS Protocol TRANSMITTAL EL 524 780 260 US Express Mail Label No. (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b) Assistant Commissioner for Patents APPLICATION ELEMENTS ADDRESS TO: **Box Patent Application** See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 \* Fee Transmittal Form (e.g., PTO/SB/17) Microfiche Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 6. Nucleotide and/or Amino Acid Sequence Submission 2. [Total Pages 24 (if applicable, all necessary) (preferred arrangement set forth below) Computer Readable Copy - Descriptive title of the Invention - Cross References to Related Applications Paper Copy (identical to computer copy) h - Statement Regarding Fed sponsored R & D Statement verifying identity of above copies C. - Reference to Microfiche Appendix - Background of the Invention ACCOMPANYING APPLICATION PARTS - Brief Summary of the Invention Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) English Translation Document (if applicable) 9 - Abstract of the Disclosure Copies of IDS Information Disclosure 10 Drawing(s) (35 U.S.C. 113) Total Sheets Statement (IDS)/PTO-1449 Citations **Preliminary Amendment** Oath or Declaration [Total Pages Return Receipt Postcard (MPEP 503) 12. X Newly executed (original or copy) (Should be specifically itemized) Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) Small Entity Statement filed in prior application, Statement(s) Status still proper and desired **DELETION OF INVENTOR(S)** (PTO/SB/09-12) Certified Copy of Priority Document(s) Signed statement attached deleting (if foreign priority is claimed) inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). 16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) of prior application No: Group / Art Unit: Prior application information: Examiner For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESHUNDENDEMENS Correspondence address below X Customer Number or Bar Code Label Name Address Zip Code City State Fax Telephone Country Registration No. (Attorney/Agent) 33,040 Name (Print/Type) Steven A. Swernofsky

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## Documents enclosed:

- Utility Patent Application Transmittal Form;
- Specification (16) pages;
- Claims (7) pages;
- Abstract (1) pages;
- Drawings (5) pages;
- Return post card; and
- Certificate of Express Mailing.